

# Indonesia call for grant applications Institutional Collaboration Projects OKP-IDN-10008

Joint proposal

Country	Indonesia
Priority theme(s)	Security and Rule of Law
Aim of the project	Upgrading legal studies for higher education in Indonesia with a focus on legal skills and ethics
Call number	OKP-IDN-10008
Maximum available funding for this call, excluding co-funding	EUR 1,200,000
Maximum funding per collaboration project, excluding co-funding	EUR 600,000
Minimum required co-funding	10% of the budget that is applied for. More co-funding is strongly encouraged.

# Time schedule

Call for grant applications	January 30, 2019
Nuffic will answer questions about the call until	February 20, 2019, 11.00 am CEST.
Closing date for submission of grant	March 25, 2019, 11.00 am CEST
applications	
applications  Assessment of grant application	April 22, 2019



# **Contents**

Time :	schedule	. 1
1.	Introduction: The Orange Knowledge Programme	. 3
2.	Information specific for this call	. 4
2.1	Long-term impact	. 4
2.2	Context of the call	. 5
2.3	Co-funding	. 6
2.4	Questions about the call?	. 7
3.	General information for applicants for institutional collaboration projects	. 7
3.1	Eligibility criteria	. 7
3.2	Grant Obligations and Conditions	. 8
3.3	Requirements	. 8
3.4	Eligibility check	. 9
3.5	Assessment and selection procedure	. 9
4.	Checklist	10



# 1. Introduction: The Orange Knowledge Programme

Nuffic's Orange Knowledge Programme is a 5 year global development programme, aimed to strengthening professionals and organisations through education and training. It contributes to the goals of the Netherlands' <u>development cooperation policy</u>, in which education plays a significant role.

The programme offers funding in 53 countries for individual scholarships, tailor-made trainings and institutional partnerships between Dutch and foreign education institutions in Technical and Vocational Education and Training (TVET) and higher education. It focuses on the priority themes of the Dutch government (Water, Food and nutrition security, Sexual Reproductive Health and Rights, and Security and Rule of Law) and aims throughout the programme for inclusion, employability and environmental sustainability.

Nuffic is programme manager, in close collaboration with the programme's funder, the Netherlands' Ministry of Foreign Affairs. Running from mid-2017 to mid-2022, it will offer at least 51,000 thousand people the chance to change their future through education.

With its institutional collaboration projects the Orange Knowledge Programme aims to support knowledge institutions in need of sustainable strengthening of higher and vocational education capacity within local priority themes relevant to development cooperation. Support for vocational education and connection to the labour market are important principles. Institutional projects consist of various activities that contribute to institutional development of organisations in developing countries on three levels: individual, organisational and institutional.

More information about the Orange Knowledge Programme such as the basic principles and the policy framework can be found of the Nuffic website: <a href="www.nuffic.nl/en/global-development/orange-knowledge-programme">www.nuffic.nl/en/global-development/orange-knowledge-programme</a>.

The Orange Knowledge Grant Obligations and Conditions can be found among the downloads under the link to this call.

The Orange Knowledge Programme focuses on sustainable strengthening of higher and vocational education capacity within local priority themes relevant to Dutch development cooperation which are outlined in the Country Plans of Implementation (CPI). Click <a href="here">here</a> for the CPI's per country.

The Theory of Change (ToC) of the Orange Knowledge Programme describes the interlinkages between capacity building and sustainable inclusive development to which each approved initiative under the Orange Knowledge Programme contributes to. The ToC can be found <a href="https://example.com/here">here</a>.

This document describes the procedure for submitting an Orange Knowledge Programme grant application for institutional collaboration project – joint proposals.



In this document you find important details for this call. Where applicable, links are included to provide more information on grant obligations and conditions, guiding principles, procedures, and formats.

# 2. Information specific for this call

# 2.1 Long-term impact

The Theory of Change (ToC) of the Orange Knowledge Programme presents the expected medium and long-term impact and outcomes of the programme. Each impact and outcome is quantified by a number of indicators which are described in the Orange Knowledge Programme Monitoring and Evaluation Framework and relates to the M&E Framework of Ministry of Foreign Affairs.

All projects must contribute to achieving these impact and outcomes. The grant application and, if the application is selected, subsequent reporting must give insight into how the project will contribute/contributes to achieving impact and outcomes.

The CPI gives all details about the impact, outcomes and indicators of the ToC of the Orange Knowledge Programme and of the contribution to the thematic ToC(s) specific to the country in question in Annex 2.

The proposed project must contribute to the following **objective**:

- Promoting just, peaceful and inclusive societies and achieving legitimate stability through the strengthening of capacity, knowledge and quality of individuals as well as organisations in the fields of Technical and Vocational Education and Training and Higher Education (TVET/HE) in OKP partner countries (SDG 16).

The proposed project must contribute to the following long-term impact:

- Citizens are better able to access their rights through fair, efficient, impartial, independent and accountable institutions.

The following **medium-term impact** will contribute to this:

- (I) Education system (TVET/HE) is of good quality, relevant and accessible (SDG 4);
- (II) Partnerships between persons and organisations are inclusive and sustainable (SDG 17);
- (III) Organisations key to (sectoral) inclusive development of partner countries are strengthened by inflow of enhanced workforce.

This will be achieved by the following outcome:

A. TVET/HE organisations (in the selected partner countries and in NL) perform better their core tasks, firmly embedded in their environment (in line with country / regional specific labour market needs & aiming at inclusiveness).



In the Orange Knowledge Programme format for a grant application the consortium partners will be asked to select relevant indicators to measure the achievement during and after project implementation at medium-term and long-term-level.

#### 2.2 Context of the call

The objective of this call is to contribute to legal certainty in Indonesia by increasing the quality and skills-set of graduates who will work in the judicial sector.

Explicit reference is made to the Country Plan of Implementation (CPI) for Indonesia (section on Security and Rule of Law), which clarifies the context and expected long-term impact to which the institutional collaboration project under consideration has to contribute. In addition, the call responds to the appeal by the Ministry of Research and Higher Education for the need to have professional law graduates with relevant competences and ethics (ref. yearly meeting of the Forum of Dean of Faculty of Law in Indonesia, 2016).

The following capacity gaps have been identified by multiple actors in the judicial sector in the sector Security & Rule of Law as well as universities in Indonesia during consultation meetings conducted 2018:

- Legal products by law graduates in Indonesia are generally of limited quality and lack consistency due to poor legal skills that insufficiently correspond to labour market needs and which may compromise legal certainty within different branches of the judicial sector;
- Law graduates lack skills to perform specific tasks of legal-related work (see the definition of legal skill)<sup>1</sup>;
- The number of law graduates working for public judicial institutions tends to be
  decreasing as the general public often questions the accountability of judicial
  institutions which contributes to a negative perception of the security & law sector in
  Indonesia. This trend contradicts with the demands from the labour market where
  major public judicial institutions and law enforcement agencies, are willing to recruit
  more personnel for their institutions;
- Cooperation between universities on Java with universities outside Java are often ad hoc, supply-driven and do not necessarily benefit universities in peripheral areas.

In addition to generic criteria and objectives of OKP, the following additional criteria apply to this call:

• The proposal should focus on enhancing the capacity of Higher Education (HE) to offer improved study programmes in the field of law with a particular focus on legal skills and

1

<sup>&</sup>lt;sup>1</sup> Legal skill refers to 'any action, practise and measured degree of competence through the development of abilities including writing, interviewing, communication, advocacy, identifying ethical issues and more technical transaction skills; the identification of certain ethical dilemmas and possible responses to these and Learning to apply generic skills and knowledge to more particular transactions' (Wade, 1994).



by integrating legal ethics and integrity, preferably by developing specific courses in this area. Where possible, legal ethics should be a cross-cutting issue in different courses in the (undergraduate) programme. Suggestions were made to integrate legal ethics as a topic, the intervention should specifically address areas of law that prepare students to work in the public sector;

- The proposal will need to clearly describe the type of legal skills to be addressed in the project and has to distinguish between generic legal skills and skills relevant to a specific field of law and/or a specialisation;
- The proposal should clearly describe the strategy to upgrade the capacity of teaching staff to integrate skills-education in the study-programmes and to develop course materials that allow for practise-based learning;
- Any capacity building intervention for teaching staff should include innovative, studentcentred and interactive teaching methods, modules (both for courses and internship/apprenticeship) and knowledge development on how to structurally integrate legal skills in the study programmes;
- The project should seek for opportunities to systemically integrate on-the-job training (internship, apprenticeship) in the curriculum;
- In order to increase access to quality legal education for marginalised groups outside
  Java, the project should specifically address and where possible institutionalise,
  cooperation with a university/universities outside Java, for instance through outreach
  activities of educational institutes, joint-cooperation on curriculum development and
  staff exchange.

# 2.3 Co-funding

Co-funding is one of the key principles underpinning the programme. It contributes to reciprocity and increases ownership of the collaboration. Co-funding may involve public, private and/or own funds.

Indonesia is considered to be a Lower Middle Income Country (LMIC) according to OECD's DAC list. This means that an application for this call should include a co-funding amount of 10% of the budget that is applied for. More co-funding is strongly encouraged.

A contribution from public funds is defined as: a contribution in cash or in kind to which is directly or indirectly paid for from state resources. State resources comprise all funds from the public sector, including funds from local levels of government (decentralised, federal, regional or other) and, in certain circumstances, funds from private bodies.

Public funds from the Ministry of Foreign Affairs of the Netherlands (including embassies) do not count as co-funding, but can be added to the project.

Private funds are considered to be all funds – in cash or in kind – that are not state resources.



# 2.4 Questions about the call?

Nuffic will answer questions about this call until the date stated in the time schedule on the front page. If you have a question about this call you can send an e-mail in English to <a href="mailto:CDtenders@nuffic.nl">CDtenders@nuffic.nl</a>. Mention in your e-mail the call number.

Questions and Nuffic's answers will be published on the OKP website. The identity of the inquirers is kept private.

# 3. General information for applicants for institutional collaboration projects

Grants for OKP Institutional Collaboration Projects will be awarded to collaboration projects between knowledge institutions focusing on sustainable strengthening of higher and vocational education capacity within local priority themes relevant to development cooperation. A grant application (proposal) may be submitted by any one of the partners, potentially on behalf of a consortium. The grant applicant must be a Dutch institution for secondary and higher vocational or academic education; or a national or local knowledge institution or organisation (knowledge institution, ministries, national commissions or non-governmental organisation) that contributes to capacity development in the area of higher and vocational education within the local priorities as set out in the country plan of implementation (CPI). The grant applicant must have sufficient organisational and financial capacity to lead an institutional collaboration project.

Please check the criteria in the legal policy framework on our website, and read the specific criteria in this call document.

The applying organisation will be the grant recipient, who will be responsible for the grant activities and for complying with the obligations and conditions connected to the grant.

### 3.1 Eligibility criteria

- Grant applications and applicants have to meet the minimum criteria to be eligible for a
  grant. The grant application must be submitted by a Dutch institution for secondary or
  higher vocational or academic education, or a knowledge institution or organisation in a
  Country A (see countries on the map that are marked red), potentially on behalf of their
  consortia.
- 2. The grant applicant is in a position to provide adequate financial management and, due to its experience and expertise in relation to activities similar to those for which the grant is being requested, can guarantee the targeted and effective performance of the activities to achieve the impact and outcomes as defined in the application; the



- applicant must submit data in the form of a COCA<sup>2</sup> to enable its capacities to be assessed.
- 3. The grant applicant can function and operate appropriately within the legislation passed by the government in the country or countries in question.
- 4. The project proposal has a minimum duration of one year and a maximum duration of four years, and must be implemented and established by no later than 31 December 2021.
- 5. The grant applied for does not exceed the maximum amount specified in the call for arant applications.
- 6. Any required co-funding is guaranteed.
- 7. The grant application meets any specific financial requirements set out in policy rules relating to the grant application process.
- 8. The grant application must be in the compulsory format and meet all criteria and requirements stated in this document.
- 9. The grant applicant (lead partner of a consortium (country A or Dutch consortium)) can only submit or be involved in one application for this call ('one applicant, one application'-rule).<sup>3</sup>

After receiving an application Nuffic will check if the application is complete and meets the minimum criteria. If the application is not complete or does not meet all criteria Nuffic will inform the applicant. The applicant then has two working days to complete or correct his application under specific conditions. After the two working days it is not possible to make any more changes to the application. Applications that are still not complete or still do not meet the minimum criteria will be rejected.

# 3.2 Grant Obligations and Conditions

By submitting a grant application the applicant agrees with the Grant Obligations and Conditions (version 2.0). The applicant by submitting also agrees to endorse the objectives of the Orange Knowledge Programme.

# 3.3 Requirements

Applications have to be:

- submitted before the deadline, in the compulsory format. The format is one of the downloads under the link to this call:

<sup>&</sup>lt;sup>2</sup> A COCA (Checklist for Organisational Capacity Assessment) is an evaluation of whether an organisation has sufficient financial and organisational capacity to carry out a project or training course. An approved COCA assessment is valid for a maximum of one year and may be requested/updated during the year. The documents required for the COCA assessment can also be submitted at the same time as the grant application.

<sup>&</sup>lt;sup>3</sup> A consortium member (Country A or Dutch consortium) can participate in various proposals for the same call. The lead partner of the consortium that is not the applicant can also be involved in various applications for the same call.



- Complete, including all required documents at the time of submission, in the English language;
- Must not exceed 25 pages, excluding the compulsory annexes;
- The annexes must follow the numbering and titles as indicated in the grant application form (checklist);
- The font size must not be smaller than Century Gothic 10;
- Must be submitted in a searchable PDF (including all the annexes) and a budget in excel via e-mail by sending it to <a href="mailto:GDtenders@nuffic.nl">GDtenders@nuffic.nl</a>. The email must at least carry the following information:
  - Subject: grant application Orange Knowledge Programme: OKP-country abbreviation-call number;
  - o a description of the content: call number, country, attached documents;
  - o the full name and contact details of the applicant.

# 3.4 Eligibility check

After receipt of a grant application Nuffic will send the applicant a confirmation of receipt by email.

Nuffic will check if:

- the application meets the eligibility criteria.

Grant applications that do not meet the minimum will be rejected. The applicant will be informed of this decision by Nuffic via email.

# 3.5 Assessment and selection procedure

# Step 1: Assessment of eligible applications

Nuffic assesses the quality of eligible applications.

The applications are assessed according to the following criteria:

- I. Quality of the partnership;
- II. Project relevance;
- III. Project approach and design;
- IV. Project management;
- V. Technical quality of the application.

A specific score sheet and set of criteria are used for the quality evaluation of the application. These criteria are the basis to determine which application best answers the



capacity gap expressed in the CPI. An explanation of the assessment specifying the assessment questions and their weighting is available on the website.

A grant application is given a score for each of these criteria. The application must obtain a **minimum score for each of the five criteria**. Only applications that have obtained the required minimum score on items **I to V**, and therefore totalling a minimum aggregate score of 85 out of a maximum of 140 points, are taken into consideration in the selection of a winning application. Applications that have not obtained the minimum score will be rejected.

# Step 2

After the assessment all applications will be ranked. The grant application(s) with the highest score(s) will be selected, within the available budget.

After the selection Nuffic will inform the applicants with eligible applications by e-mail of the outcome of the selection.

# 4. Checklist

I. Requirements					
	Before submitting your application, please check that each of the following components is complete and complies with the criteria:	Check by applicant			
		Yes	No		
1	The application has been submitted before the deadline				
2	The correct application form has been used.				
3	The application is written in the required language.				
4	The budget is in the prescribed format (in excel and a signed PDF by the legal representative of the grant applicant), does not exceed the maximum available amount for the specific call and meets the cofinancing requirement (if applicable).				
5	The e-mail has been drawn up according to the specifications in this document.				
6	The application does not exceed 25 pages				
7	The 'one applicant, one application'-rule has been respected				
Ar	Required documents  application needs the following documents to be complete. Incomplete a  be rejected.	oplica:	tions		



Filled-in application form using the mandatory application format	
Annex 1 Statement grant applicant (mandatory format)	
Annex 2 Letter of intent for a consortium agreement(s) signed by all	
partners (Country A lead partner including consortium members and	
Dutch lead partner including consortium members) including	
organisational diagram of the project	
Annex 3 Project Logical framework including planning (mandatory format)	
Annex 4 Budget of the proposed project (mandatory format)	
Annex 5 Overview of relevant experience in capacity development of the	
Country A partner and the Dutch partner (mandatory format)	
Annex 6a CVs of the project management teams (both partners) and	
principal experts	
Annex 6b Statements of availability and expertise (mandatory format)	
Annex 7 Capacity analysis of the Country A partner(s) (mandatory format:	
organisational assessment plus 5c scoring visualisation)	
Annex 8 Proof of co-funding, duly signed by co-funder	
Annex 9 Bank Statement of the grant applicant no more than 6 months	
old	
Annex 10 Checklist Organisational Capacity Assessment (COCA) for	
Institutional Collaboration Projects of grant applicant (mandatory format)	
or if the applicant already has a valid COCA: the COCA registration	
number issued by Nuffic.	